



THE UNIVERSITY of EDINBURGH
Library

Rights Retention at the University of Edinburgh

UNICA Scholarly Communications Webinar

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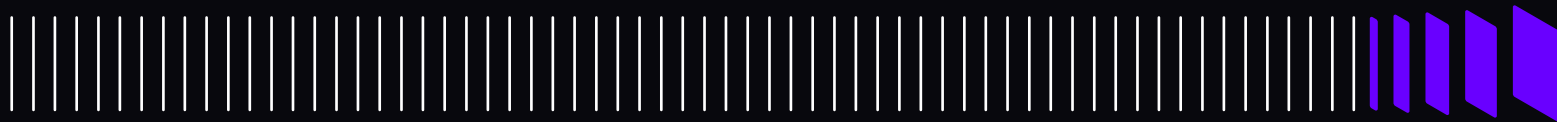


The Library helps people to grow their knowledge and create new connections through open services, collections and environments, sparking ideas that change the world.





The Library's Research Support Team enables the University's
transition to Open Science.



What is Open Access?

Open access is a set of principles and a range of practices through which research outputs are distributed online, free of access charges or other barriers. Under some models of open access publishing, barriers to copying or reuse are also reduced or removed by applying an open license for copyright. [Wikipedia](#)



Gold	Green
Hybrid	Diamond

UK Open Access Policies

- University of Edinburgh Research Publications Policy
- Medical Charity policies (Wellcome Trust, Cancer Research UK etc.)
- UKRI (seven disciplinary funding councils)
- EU Policies (FP7, Horizon 2020, Horizon Europe)
- Research Excellence Framework Policy (2016)



The Journey to Rights Retention

- Authors stuck in the “Policy Stack” of complicated, sometimes conflicting institutional, funder and publisher policies.
- Using Harvard University rights retention model as inspiration
- Started with UK Scholarly Communications Licence work back in 2018
<https://ukscl.ac.uk/>
- UKSCL work included some underpinning legal advice which we were able to share with University Legal Services department.



The Journey to Rights Retention


- Support from a Solicitor with a solid understanding of scholarly communications and the problems in the marketplace for academic journals.
- Support from senior leadership in the University (“pushing at an open door”).
- Consultation with various committees in 2020 and 2021, approval late 2021 with implementation from April 2022 (in line with UKRI implementation of Plan S).
- Prior Notification given to ca. 130 publishers by email and letter sent by recorded delivery.



University Open Access Policy

- Confirms members of staff own the copyright to their scholarly works.
- Upon acceptance of publication each staff member grants the University of Edinburgh a CC BY licence to their publication
- Applies to all scholarly articles, authored or co-authored while the person is a staff member
- Deposit the AM in a digital repository, with article metadata available immediately upon deposit and the AM being made accessible to the public on the date of first online publication
- Opt out in case of difficulties
- Standard address convention (citations)
- Strongly encourages use of ORCID unique identifiers



Research Publications & Copyright Policy (2021) 

Purpose of Policy
This will allow University of Edinburgh researchers to make their journal articles and conference proceedings available on an open access basis as required by research funders under Plan S <https://www.coalition-s.org>.

Overview
Immediate Open Access to research outputs, with rights retention, is the direction of travel major researcher funders are taking. On 1 January 2021 the Wellcome Trust introduced its new Open Access policy which requires its **copyright holders** to immediately make their research outputs open access upon publication and to be openly licensed with a Creative Commons attribution **licence** (CC BY).
It is expected that the new UKRI open access policy, which is due to be announced shortly with a start date of 1 January 2022, will have similar elements of rights retention that allow their **copyright holders** to make their research outputs freely available by the official final publication date.
Furthermore, the recently announced Horizon Europe **programme**, which is world's largest multinational research and innovation **programme**, will require immediate open-access publishing for all recipients of Horizon Europe research grants. Authors must also retain intellectual-property rights for their papers.
The original Research Publications Policy was originally developed and implemented in January 2010, and **has been revised** in 2021 to bring it in line with research council strategies, policies, and practices.

Scope: Mandatory Policy
This policy applies to all staff on research contracts.

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Document control

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Consultation undertaken	University Executive Library Committee, College Research Committees, Research Policy Group, Knowledge Strategy Committee, Human Resources Policy Development Group, UCU Scotland.				
Section responsible for policy maintenance & review	Library Research Support, Library & University Collections, ISG				
Related policies, procedures, guidelines & regulations	N/A.				
UK Quality Code	UK Quality Code				
Policies superseded by this policy	Research Publications Policy (2010)				
Alternative format	If you require this document in an alternative format please email Academic.Services@ed.ac.uk or telephone 0131 651 4480.				
Keywords	Open access, publication, copyright				

Next Steps...

- Enhanced advocacy and outreach activities: this work must be viewed as ongoing.
- Stronger encouragement to include Rights Retention Statements (although this is not strictly necessary).
- Review of Equality Impact Assessment (EqIA) after year 1.
- Add to team capacity to provide further leadership/management capacity for the wider Open Science agenda.
- Consider whether to extend scope of policy after consultation on Open Access monographs.

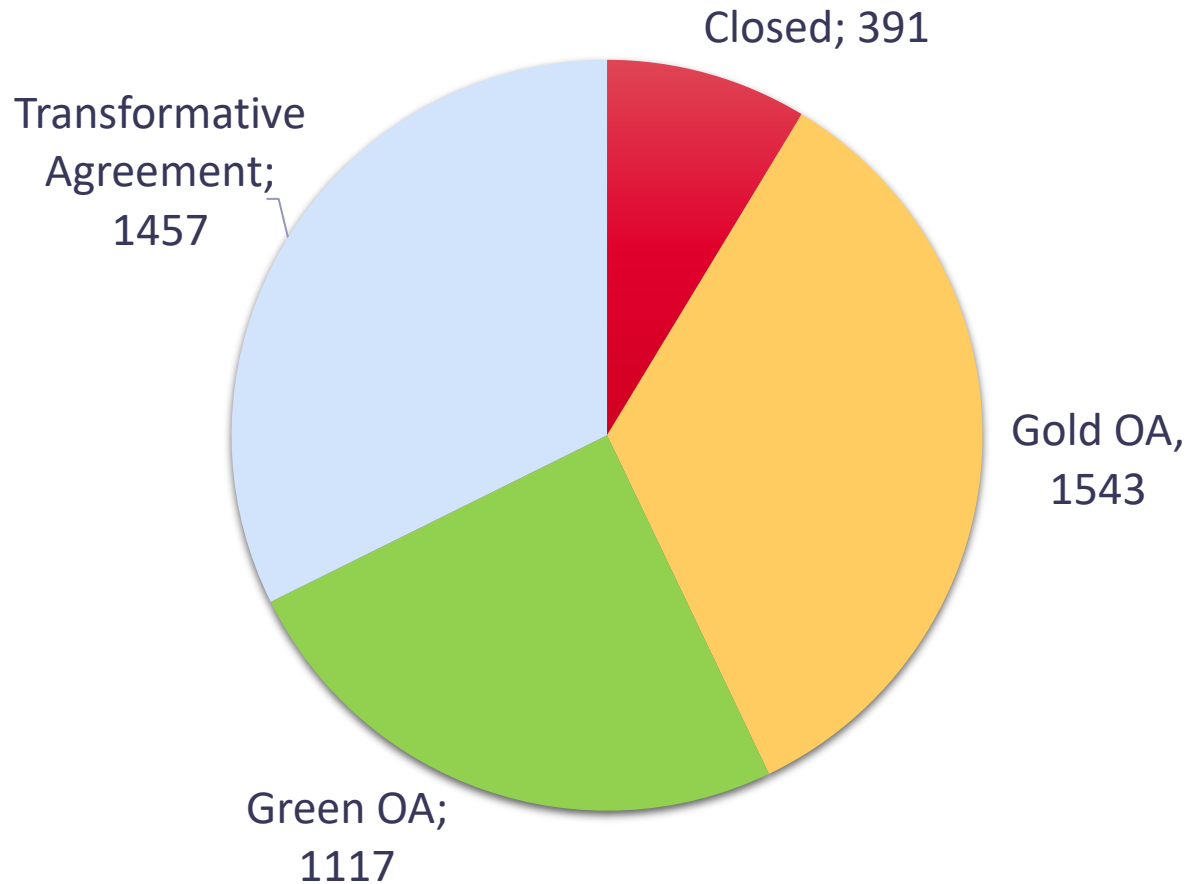




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UOE Open Access Publication Routes 2022/23



	#articles	Percentage
Closed	391	8.7%
Gold OA	1543	34.2%
Green OA	1117	24.8%
Read & Publish	1457	32.3%
Grand Total	4508	100%



Analysis of the 1st Full Year (22/23)

- The majority of our publications continue to be made OA via the Gold route or through transformative agreements with publishers. We no longer pay hybrid APCs.
- 25% of articles have been made OA via the Green route in our repository (1117 articles)
- Rights Retention Statements only appear in 197 articles.
- We have only received a handful of op-out requests.
- We have only dealt with one aggressive publisher (now pacified).
- Rights Retention has provided a useful safety-net, and has strengthened the University's position by giving authors more rights.



Rights Retention Statement included in papers

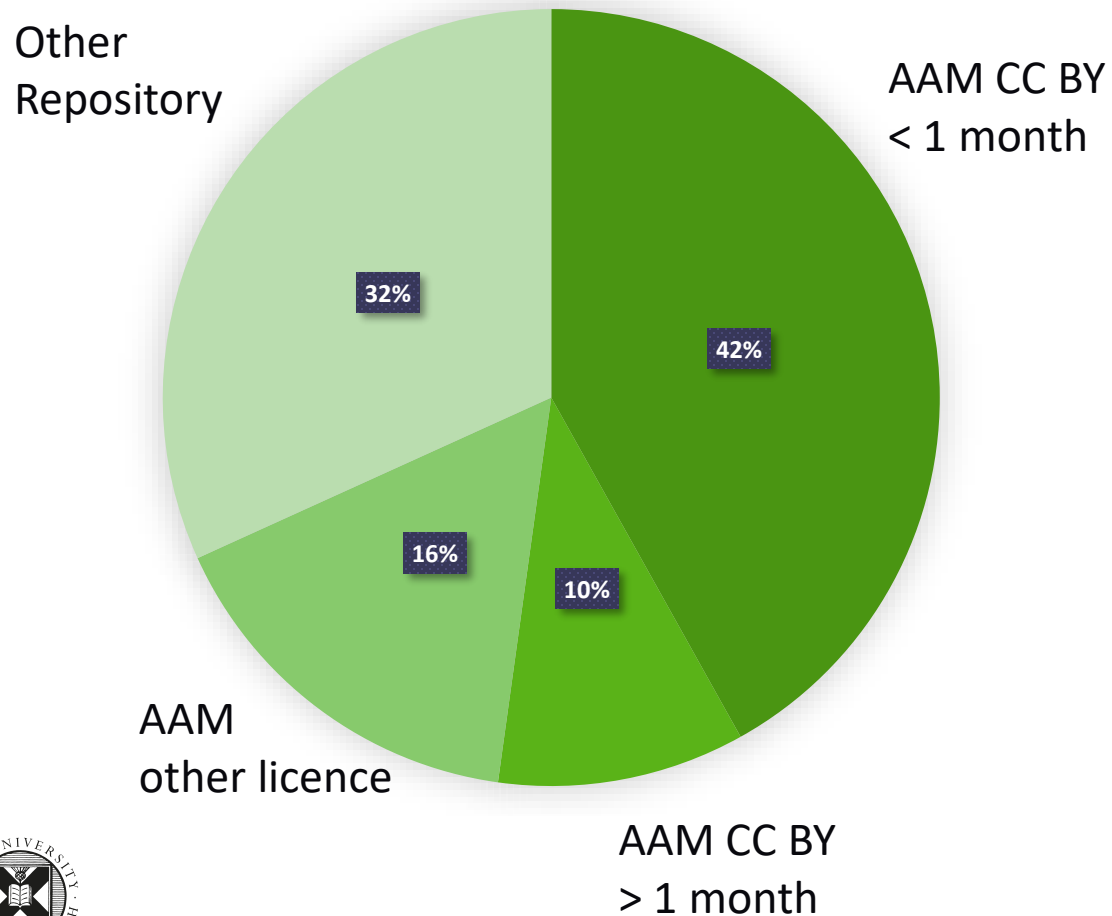
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It is interesting to note that a Rights Retention Statement (RRS) was **only included in 197 articles (4.4%)** submitted and accepted for publication during the first year of the policy.



Green OA: timing & licence types

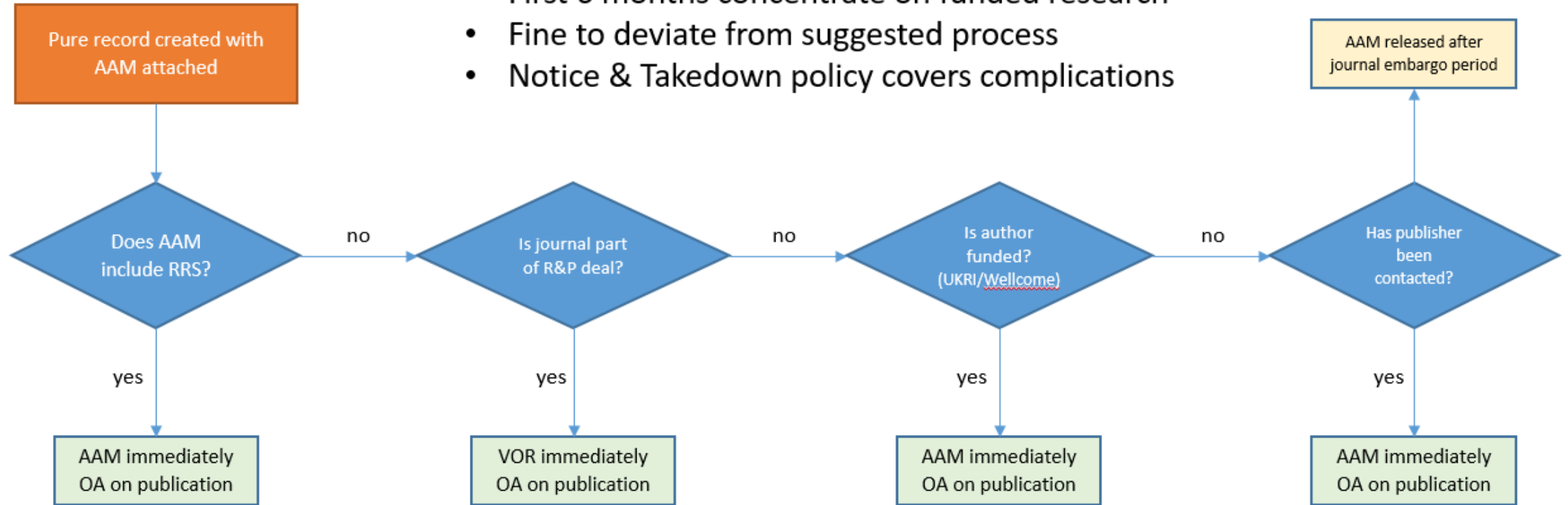


Type	#	%
AAM CC BY < 1 month	468	42
AAM CC BY > 1 month	115	10
AAM other licence	179	16
Other Repository	355	32
Total Green OA	1117	100



Suggested UOE RRS process for Pure administrators

- Publication in subscription journals only
- First 6 months concentrate on funded research
- Fine to deviate from suggested process
- Notice & Takedown policy covers complications



- Check acknowledgements section for AAM

- Check Read & Publish journal list

- Check acknowledgements section for funders, or
- Check Pure project/award affiliations

- Check publisher contact list on RON [sharepoint](#)